

1. Where did you hear about E*TRADE? **2. Lead Reference No. (if supplied via email)**

3. Select Your Account Type

(Please ✓ one □ only)	Explanation:	Correct CHESS Registered Account Name:
<input type="checkbox"/> Individual	Use full names	Peter Robert Smith
<input type="checkbox"/> Joint	Use full names	Peter Robert Smith + Sarah Anne Smith
<input type="checkbox"/> Company (ACN/ABN required)	Use full company name and not abbreviations	A Company Pty Ltd
<input type="checkbox"/> Registered Body (ARBN required)	Use office bearer/s name/s	Peter Robert Smith <Australian Tennis Club A/C >
<input type="checkbox"/> Superannuation Fund	Use S/F to abbreviate for "Super Fund" and use name of trustee of fund, not name of fund	A Company Pty Ltd <A Company S/F A/C > or Peter Robert Smith <Smith Family S/F A/C >
<input type="checkbox"/> Trust	Use name/s of trustee/s or custodian/s and do not use the words "as trustee for" or "trust"	Peter Robert Smith + Sarah Anne Smith <Smith Family A/C >
<input type="checkbox"/> Minors	Use name/s of custodian/s	Sarah Anne Smith <David Smith A/C >

4. Please provide a CHESS Registered Account Name

The name you nominate here will be your CHESS Registered Name. If you're transferring securities the Registered Account Name must be **EXACTLY** the same as on your last Holding Statement.

Account Name:

Nominating an Account Designation is only required if you are operating the account as trustee on behalf of a Super Fund, Registered Body, Trust or Minor. If this is the case, please provide the name of the entity/person on whose behalf the trustee is acting.

Account Designation: < **A / C** >

5. Account Holder 1 or Company Contact

If you are an individual or the first named Account Holder of a Joint Account, please complete all fields in this section. If you are the primary contact person for a Company, Registered Body, Super Fund or Trust Account, please provide your name and salutation only.

Mr Mrs Ms Miss Other Gender: Male Female

Family Name: Date of Birth:

Given Name/s: Occupation:

6. Account Holder 2 (Joint Account Only)

To be completed only if you are the second named Account Holder of a Joint Account.

Mr Mrs Ms Miss Other Gender: Male Female

Family Name: Date of Birth:

Given Name/s: Occupation:

7. Is this a Company or Registered Body Account?

If you are applying on behalf of a Registered Body or Company Account, please provide us with the details below. If not, please continue to question 8. Company accounts please provide your ACN/ABN: OR Registered Body please provide your ARBN:

ACN/ABN: ARBN:

8. Address

Individual and Joint Accounts: Please provide a residential address. Company, Super and Trust Accounts: Please provide the registered street address. **P.O Box addresses cannot be accepted.** Only Australian & New Zealand addresses can be accepted.

Address:

Suburb/City: State: Postcode: Australia New Zealand

9. Postal Address (if different from above)

Address:

Town/Suburb: City/State: Postcode: Country:

10. Email Address and Contact Details

Email:

We require a valid email address in order to communicate with you electronically. Should the need arise to contact you regarding urgent matters, we require at least one phone number and a fax number (if available). Please tick your preferred means of contact (other than email).

Phone Bus: Phone Hm:

Mobile: Fax:

11. Please nominate a Phone Password

A Phone Password is required whenever you call E*TRADE to discuss your account. You will be asked to quote this Phone Password so it's important you elect something you're sure to remember.

Phone Password:

12. Already an E*TRADE customer? (optional)

If you're already an E*TRADE customer and would like your new account linked to your existing account, please provide us with your E*TRADE User ID:

Yes User ID:

13. Withdrawing Funds

Please nominate an external Financial Institution Account to which funds will be transferred when you request a withdrawal from your E*TRADE Account. This account must be in the name of, or include the name of, the CHESS Registered Account Name in Question 4. In order to confirm your identity, we also require a copy of the part of your Financial Institution's statement which includes the account name, BSB and account number. **Please ensure this is attached when you submit this application.**

Name of Financial Institution:

Account Holder Name:

BSB Number: (This is the six-digit bank state branch number. If unsure, please contact your Financial Institution.)

Account Number: (Maximum of nine digits. Credit card numbers cannot be accepted.)

14. Existing ANZ Customer? (optional)

Do you currently use ANZ Internet or Phone Banking? You can link your new E*TRADE ANZ Cash Account to your existing account/s by entering your Customer Registration Number (CRN) below.

CRN:

15. Tax File Number (TFN) (optional)

Individual and Joint Accounts: Please provide the TFN for each Account Holder.
Company, Registered Body, Super Fund or Trust Accounts; please provide the TFN for that entity.

TFN1: or Exemption: TFN2: For Joint A/C Only or Exemption:

16. Are you a Qantas Frequent Flyer member? (optional)

Provide us with your Qantas Frequent Flyer number and you can earn points as you trade with E*TRADE. The Qantas Frequent Flyer number should be under the same surname as the Account Holder. Joint Accounts: You may provide another Qantas Frequent Flyer number for the second named Account Holder.

Qantas Frequent Flyer number: Qantas Frequent Flyer number 2: For Joint A/C Only



17. How would you like to receive Contract Notes?

Electronic via email or Printed and posted* You may also receive notification of contract details via SMS*

*A small fee applies. Please refer to Financial Services Guide for full details.

18. Declaration

- **Yes, I/We have read and agree to be bound by all ETRADE Australia Securities Limited ("E*TRADE Australia") Terms and Conditions and E*TRADE Australia's Financial Services Guide (which I obtained at www.etrade.com.au under About E*TRADE) as varied from time to time and notified to me.**
- **Yes, I/We have read the CHESS (Broker) Sponsorship Agreement and agree to being CHESS Sponsored by E*TRADE Australia.**

Account Holder 1:

Signature:

Name: (please print)

Title: (if company)

Date:

Account Holder 2:

Signature:

Name: (please print)

Title: (if company)

Date:

Your Account Opening Checklist

You're almost done! We want to ensure your application is processed as quickly as possible so we can open your new E*TRADE account. Here's a quick checklist:

1. Have you visited the About E*TRADE tab at www.etrade.com.au and read the Terms and Conditions and Financial Services Guide?
2. Have you taken note of your Phone Password?
3. Have you completed all sections of this Application Form, including your Tax File Number and details of your nominated Financial Institution Account?
4. Have all registered Account Holders signed the Application Form?
5. Have you attached a copy of your nominated Financial Institution Account statement?

Thank you. Please send your Application Form and supporting documentation to:

ETRADE Australia Securities Limited
Reply Paid 1346
ROYAL EXCHANGE NSW 1224

What's Next?

Once we receive your application, we'll send you a confirmation of your CHESS Sponsorship. Your account details and trading passwords will arrive by mail – they're your keys to start trading!

For Office Use Only

SCHEDULE (CHESS HOLDINGS) H.I.N:

Signed for and on behalf of ETRADE
Australia Securities Limited

Authorised signatory

www.etrade.com.au

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